

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3802-090-27 CIP

First Inventor or Application Identifier

Karin JOOSS

Title

CYTOKINE-EXPRESSING CELLULAR VACCINE COMBINATIONS

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☐ Applicant claims small entity status.
2. ☒ Specification Total Pages **81**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **25**
4. ☐ Oath or Declaration Total Pages
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
PO Box 1450
Alexandria, VA 22313-1450**ACCOMPANYING DOCUMENTS**

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:


- ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application no.: 10/327,869 filed December 26, 2002
- Prior application information: Examiner: Unassigned Group Art Unit: 1614

17. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☐ Division ☒ Continuation-in-part (CIP)
of application Serial No. 10/404,662 Filed on April 2, 2003.

☐ This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESSSupervisor, Patent Prosecution Services
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	March 24, 2004
Name	Lisa K. Norton	Registration No.	44,977

17354 U.S. PTO
10/807449

DOCKET NO. 3802-090-27 CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Karin JOOSS, et al.

ART UNIT:

SERIAL NO.: New Continuation-in-Part Application

EXAMINER:

FILING DATE: Herewith

FOR: CYTOKINE-EXPRESSING CELLULAR VACCINE COMBINATIONS

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

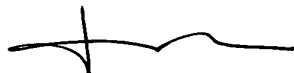
Listed below are the names and addresses of the inventors for the above-identified patent application.

- | | |
|--|---|
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Richmond, California 94804 | |

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER RUDNICK LLP



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Attorney of Record

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13281 U.S. PTO
032404

FEE TRANSMITTAL

Docket No.	3802-090-27 CIP
Serial No.	New Continuation-in-Part Application
Filing Date	Herewith
Inventor(s)	Karin JOOSS, et al.
Group Art Unit	
Examiner	

TOTAL AMOUNT OF PAYMENT \$1,314.00

1. ☐ Applicant claims small entity status.
- ☐ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge-late filing fee or oath	\$130.00

FEE CALCULATION

1. BASIC FILING FEE

1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English Specification	
1251	110	2251	55	1-mo. ext. of time	
1252	420	2252	210	2-mo. ext. of time	
1253	950	2253	475	3-mo. ext. of time	
1254	1480	2254	740	4-mo. ext. of time	
1255	2010	2255	1005	5-mo. ext. of time	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Appeal Brief	

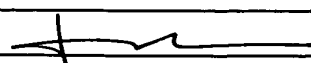
2. EXTRA CLAIM FEES

1403	290	2403	145	Request for Oral Hearing	
1501	1330	2501	665	Utility/Reissue Issue Fee	
1502	480	2502	240	Design Issue Fee	
1504	300	1504	300	Publication Fee	
8001	3	8001	3	Advance Copy of Patent	
1460	130	1460	130	Petitions to the Commissioner	
1806	180	1806	180	IDS Submission	
8021	40	8021	40	Assignment recordation	
1801	770	2801	385	For Filing RCE	
1814	110	2814	55	Terminal Disclaimer	
OTHER (indicate below):					

SUBTOTAL (2) \$414.00

* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) \$130.00

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